Overview of Outpatient Care Settings and Ambulatory Surgery Centers

Agency for Health Care Administration
Molly McKinstry, Deputy Secretary
Health Quality Assurance
Surgical Settings

• Ambulatory Surgery Centers
• Hospital Inpatient Setting
  – Outpatient Under the Hospital License
• Physician Office Surgery Registration
Ambulatory Surgical Centers
[Florida Statutes ss.395.002(3)]

- Primary purpose is to provide elective surgical care.
- The patient is admitted to and discharged from the facility within the same working day.
- Overnight stays are not permitted.
- Licensed separately from a hospital.
- An ambulatory surgical center does not include an office maintained by a physician for the practice of medicine.
Ambulatory Surgical Centers

[State Procedures Allowed]

• No specific list of procedures in state regulation
• Governing board determines policies and activities of the Center
• Organized medical staff review and approve policies and activities of all departments
• Anesthesia policies and procedures must be developed by the anesthesia service, approved by the medical staff and the governing board, and reviewed annually
Ambulatory Surgical Centers

[Federal Regulation – 42 CFR §416.2 ]

- Federal regulations apply for Medicare participation
- State licensure required
- ASC is a distinct entity
- Operates exclusively for the provision of surgical services to patients not requiring hospitalization, not to exceed 24 hours including pre- and post-operative
- Complies with Federal Conditions for Coverage
- Limitations apply to all of the ASC surgical services, not just to surgeries for Medicare beneficiaries
Ambulatory Surgical Centers

[Federal Procedures Allowed]

• ASC List of Covered Surgical Procedures
  – Addendum AA of the hospital outpatient prospective payment system.
  – [http://cms.gov/Medicare/Medicare-Fee-for-Service-Payment/ASCPayment/index.html](http://cms.gov/Medicare/Medicare-Fee-for-Service-Payment/ASCPayment/index.html)

• §416.42(a)(1) A physician must examine the patient immediately before surgery to evaluate the risk of anesthesia and of the procedure to be performed.
Ambulatory Surgical Centers
Regulatory Criteria

• Overall Operations
• Surgical, Anesthesia, Nursing Services
• Appropriate Medical Record Maintenance
• Quality Assurance
• Risk Management and Adverse Incident Reporting
• Recovery and Discharge
• Infection Control
• Construction Standards
Ambulatory Surgical Centers
Surveys

• Annual state licensure inspections are conducted at all non-accredited ASCs
• Accrediting organization survey reports are provided to the Agency
• State validation inspections are conducted annually on 5% of accredited ASCs to determine ongoing compliance with state licensure regulations
• Federal validation and re-certification surveys for those facilities that are Medicare certified
• Complaint Investigations (both state and federal)
Ambulatory Surgical Centers

• 429 licensed ASCs in Florida (As of August, 2015)
• 411 ASCs are Medicare and/or Medicaid certified
• 379 ASCs are accredited by a national accrediting organization
  – Accreditation Association for Ambulatory Health Care (296)
  – The Joint Commission (82)
  – The American Association for Accreditation of Ambulatory Surgery Facilities (1)
Ambulatory Surgical Centers
Reporting Requirements

• Patient data is submitted quarterly
• ASCs with fewer than 200 visits per quarter may request a quarterly exemption
• Collected by Agency’s Florida Center
• Publish data through FloridaHealthFinder.gov
Florida Surgical Data
(Calendar 2014)

• 4,393,310 outpatient surgical procedures
  – 2,404,537 in licensed ASCs
  – 1,988,782 in hospital-based outpatient locations
  – Digestive system most common
    • One-third (1,502,781)
    • Includes endoscopy and colonoscopy
• 664,232 hospital inpatient surgical procedures
• Excludes physician office surgery
# Top 5 CPT Surgical Code Categories Reported - 2014

<table>
<thead>
<tr>
<th>Rank</th>
<th>Description</th>
<th>Freestanding ASCs</th>
<th>Hospital Based ASCs</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Digestive System <em>(Includes colonoscopy and endoscopy procedures)</em></td>
<td>960,700</td>
<td>542,081</td>
</tr>
<tr>
<td>2</td>
<td>Nervous System <em>(Includes steroidal &amp; anesthetic spinal injections)</em></td>
<td>451,446</td>
<td>123,877</td>
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<tr>
<td>3</td>
<td>Musculoskeletal System <em>(Includes a wide range of orthopedic surgery and arthroscopy)</em></td>
<td>282,682</td>
<td>236,380</td>
</tr>
<tr>
<td>4</td>
<td>Eye and Ocular Adnexa <em>(Includes cataract removal)</em></td>
<td>401,816</td>
<td>66,507</td>
</tr>
<tr>
<td>5</td>
<td>Integumentary System <em>(Includes plastic surgery and other procedures involving the skin and breast)</em></td>
<td>111,780</td>
<td>243,302</td>
</tr>
</tbody>
</table>
# Top 5 Major Diagnostic Category (MDC) Surgical Code Categories

**Inpatient - 2014**

<table>
<thead>
<tr>
<th>Rank</th>
<th>Description</th>
<th>Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Musculoskeletal System and Connective Tissue</td>
<td>180,762</td>
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<tr>
<td>2</td>
<td>Circulatory System</td>
<td>110,971</td>
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<tr>
<td>3</td>
<td>Pregnancy, Childbirth and Puerperium</td>
<td>86,711</td>
</tr>
<tr>
<td>4</td>
<td>Digestive System</td>
<td>67,341</td>
</tr>
<tr>
<td>5</td>
<td>Hepatobiliary System and Pancreas</td>
<td>32,267</td>
</tr>
</tbody>
</table>

Total Discharges, 2014 – 2,741,984
(2,075,084 discharges had no procedure performed)
Physician Office Surgery Registration

- Physicians must register with Department of Health
- DOH inspects certain office surgery settings unless accredited
- Board of Medicine sets Standard of Care for Office Surgery
  - Anesthesia monitoring
  - Education
  - Scope of office surgery
Emergency / Urgent Care

• Hospital-based emergency departments
  – Hospital emergency departments (243)
    (86 hospitals do not have emergency departments)
  – Off-site emergency departments (22)
• Urgent care centers are not specifically defined
• Providers of urgent care may include
  – Physician offices
  – Health care clinics (1,812)
  – Health maintenance organization offering services (2)
Hospital Emergency Departments

• Operate under hospital license
• Emergency access - EMTALA
• Treat emergent medical conditions
• Specific services must be available
• Patient arrive by ambulance
• 24/7 operation with on-call specialists
Notice of Charge for Services

• Hospital licensure requires good faith estimate of charges upon request for nonemergency medical services upon written request.

• 2011 legislation requires urgent care centers publish and post a schedule of charges for medical services offered to patients.
Posting of Charges
Urgent Care Centers

• An offsite emergency department of a hospital that is presented to the general public in any manner as a department where immediate and not only emergent medical care is provided

• An offsite facility of a hospital or a joint venture between a hospital and a provider licensed under chapter 458 or chapter 459, that does not require a patient to make an appointment and is presented to the general public in any manner as a facility where immediate but not emergent medical care is provided

• A health care that maintains three or more locations using the same or a similar name, does not require a patient to make an appointment, and holds itself out to the general public in any manner as a facility or clinic where immediate but not emergent medical care is provided.
Posting of Charges
Urgent Care Centers Conditions

- Layperson description
- Prices for uninsured persons
- Conspicuously posted in reception area
- 50 most frequently provided services
- Size requirements for poster
- Fines for failure to comply